

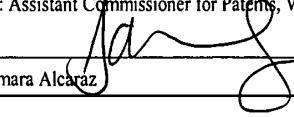
PATENT
Docket No. 514012000400
Client Reference 760/11168.175

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

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Date of Deposit: June 12, 2002

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.


Tamara Alcaraz

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Nahum SONENBERG et al.

Serial No.: 09/973,473

Filing Date: October 9, 2001

For: NON-HUMAN TRANSGENIC ANIMAL
WHOSE GERM CELLS AND SOMATIC
CELLS CONTAIN A KNOCKOUT
MUTATION IN DNA ENCODING 4E-
BP1

Examiner: To Be Assigned

Group Art Unit: 1614

COMMUNICATION

Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

This Communication accompanies the response to Notice to File Missing Parts of Nonprovisional Application. Please find enclosed authorization to charge Deposit Account No. 03-1925 the amount of \$1715, including extension fee. The Notice to File Missing Parts of Nonprovisional Application states the amount due as \$918, excluding the extension fee. We calculate the amount due as \$735, excluding the extension fee. The amount of \$735 was derived by taking into account the preliminary amendment submitted with the application filing on October 9, 2001, in which the number of claims was reduced.



We note that our Fee Determination Record did not reflect the correct number of claims as filed.

Please take this Communication into consideration when processing the fees due.

Respectfully submitted,

Dated:

June 12, 2002

By:

Gladys Monroy
Gladys H. Monroy
Registration No. (32,430)

Morrison & Foerster LLP
755 Page Mill Road
Palo Alto, California 94304-1018
Telephone: (650) 813-5711
Facsimile: (650) 494-0792

FEE TRANSMITTAL FOR FY 2002		Complete if Known	
 Patent fees are subject to annual revision.		Application Number	09/973,473
		Filing Date	October 9, 2001
		First Named Inventor	Nahum SONENBERG
		Examiner Name	To Be Assigned
		Group Art Unit	1614
TOTAL AMOUNT OF PAYMENT	(\$1715)	Attorney Docket No.	514012000400

METHOD OF PAYMENT					FEE CALCULATION (continued)																																								
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:					3. ADDITIONAL FEES																																								
Deposit Account Number <input type="text" value="03-1952"/>					Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description																																				
Deposit Account Name <input type="text" value="Morrison & Foerster LLP"/>					105	130	205	65	Surcharge - late filing fee or oath																																				
<input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17					127	50	227	25	Surcharge - late provisional filing fee or cover sheet																																				
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27					139	130	139	130	Non-English specification																																				
2. <input type="checkbox"/> Payment Enclosed:					147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination																																				
<input type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other					112	920*	112	920*	Requesting publication of SIR prior to Examiner action																																				
FEE CALCULATION					113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action																																				
1. BASIC FILING FEE					115	110	215	55	Extension for reply within first month																																				
<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 15%;">Large Fee Code</th> <th style="width: 15%;">Entity Fee (\$)</th> <th style="width: 15%;">Small Fee Code</th> <th style="width: 15%;">Entity Fee (\$)</th> <th style="width: 50%;">Fee Description</th> <th style="width: 10%;">Fee Paid</th> </tr> </thead> <tbody> <tr> <td>101</td> <td>740</td> <td>201</td> <td>370</td> <td>Utility filing fee</td> <td><input type="text" value="370"/></td> </tr> <tr> <td>106</td> <td>330</td> <td>206</td> <td>165</td> <td>Design filing fee</td> <td><input type="text"/></td> </tr> <tr> <td>107</td> <td>510</td> <td>207</td> <td>255</td> <td>Plant filing fee</td> <td><input type="text"/></td> </tr> <tr> <td>108</td> <td>740</td> <td>208</td> <td>370</td> <td>Reissue filing fee</td> <td><input type="text"/></td> </tr> <tr> <td>114</td> <td>160</td> <td>214</td> <td>80</td> <td>Provisional filing fee</td> <td><input type="text"/></td> </tr> </tbody> </table>					Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid	101	740	201	370	Utility filing fee	<input type="text" value="370"/>	106	330	206	165	Design filing fee	<input type="text"/>	107	510	207	255	Plant filing fee	<input type="text"/>	108	740	208	370	Reissue filing fee	<input type="text"/>	114	160	214	80	Provisional filing fee	<input type="text"/>	116	400	216	200	Extension for reply within second month
Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid																																								
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106	330	206	165	Design filing fee	<input type="text"/>																																								
107	510	207	255	Plant filing fee	<input type="text"/>																																								
108	740	208	370	Reissue filing fee	<input type="text"/>																																								
114	160	214	80	Provisional filing fee	<input type="text"/>																																								
					117	920	217	460	Extension for reply within third month																																				
					118	1,440	218	720	Extension for reply within fourth month																																				
					128	1,960	228	980	Extension for reply within fifth month																																				
					119	320	219	160	Notice of Appeal																																				
					120	320	220	160	Filing a brief in support of an appeal																																				
					121	280	221	140	Request for oral hearing																																				
					138	1,510	138	1,510	Petition to institute a public use proceeding																																				
					140	110	240	55	Petition to revive - unavoidable																																				
					141	1,280	241	640	Petition to revive - unintentional																																				
					142	1,280	242	640	Utility issue fee (or reissue)																																				
					143	460	243	230	Design issue fee																																				
					144	620	244	310	Plant issue fee																																				
					122	130	122	130	Petitions of the Commissioner																																				
					123	50	123	50	Petitions related to provisional applications																																				
					126	180	126	180	Submission of Information Disclosure Stmt																																				
					127	40	581	40	Recording each patent assignment per properties (times number of properties)																																				
					146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))																																				
					147	740	247	370	For each additional invention to be examined (37 CFR § 1.129(b))																																				
					148	740	248	370	Request for Continued Examination (RCE)																																				
					149	740	249	370	Request for expedited examination of a design application																																				
					150	740	250	370	Other fee (specify) <input type="text"/>																																				
					151	740	251	370	SUBTOTAL (3) (\$1045)																																				
					152	740	252	370	*Reduced by Basic Filing Fee Paid																																				
					153	740	253	370	SUBTOTAL (3) (\$1045)																																				

** or number previously paid, if greater; For reissues, see above.

SUBMITTED BY		Complete if applicable		
Name (Print/Type)	Gladys H. Monroy		Registration No.	32,430
Signature	<i>Gladys H. Monroy</i>		Telephone	(650) 813-5711
Date June 12, 2002				

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.



06-14-02

MJF

Please type a (PATENT or TM) inside this box → +

PTO/SB/21 (08-00)

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number Of Pages In This Submission

12

Application Number

09/973,473

Filing Date

October 9, 2001

First Named Inventor

Nahum SONENBERG

Group Art Unit

1614

Examiner Name

To Be Assigned

Attorney Docket No.

514012000400

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form – in duplicate	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declarations	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Extension of Time Request	<input checked="" type="checkbox"/> Power of Attorney 2 pages, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Declaration (2 pages) Statement under 37 CFR 3.73(b) Communication (2 pages) Return receipt postcard
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application	Remarks	
<input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 – Copy of Notice attached		

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Firm

Morrison & Foerster LLP, 755 Page Mill Road, Palo Alto, California 94304-1018

or
Individual Name

Gladys H. Monroy (32,430)

Signature

Date

June 12, 2002

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

Express Mail Label No.: EL569251483US

Date of Deposit: June 12, 2002

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pa-701070



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/973,473	10/09/2001	Nahum Sonenberg	514012000400

25226
MORRISON & FOERSTER LLP
755 PAGE MILL RD
PALO ALTO, CA 94304-1018

CONFIRMATION NO. 5331

FORMALITIES LETTER



OC000000007063028

Date Mailed: 11/14/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- Total additional claim fee(s) for this application is \$483.
 - \$189 for 21 total claims over 20.
 - \$294 for 7 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 918.**

07/08/2002 MTEKLEMI 00000004 031952 09973473

01 FC:202 84.00 CH
02 FC:203 99.00 CH

A copy of this notice MUST be returned with the reply.

06/19/2002 MBERHE 00000013 031952 09973473

Butch T. Buxton
Customer Service Center
Initial Patent Examination Division (703) 308-1202

01 FC:201	370.00 CH
02 FC:205	65.00 CH
03 FC:203	90.00 CH
04 FC:202	210.00 CH

PART 2 - COPY TO BE RETURNED WITH RESPONSE